

# COMPENSATED OUTSIDE SERVICES

Prepared for the Academic and Student Affairs

Committee

May 31, 2012

### UNLV

Institution: University of Nevada, Las Vegas
Reporting Period: January 1 - December 31, 2011

### Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
					# COI	
					Reports	
					Reviewed at	
	# of Faculty/		% of Faculty/		a level higher	
	Prostaff	Total # of	Prostaff	# COI	than the	
	requesting	Faculty/	requesting	Reports	employee's	
Administrative Unit	SPOCS	Prostaff	SPOCS	Submitted	supervisor	# Actual COI*
Academic Success Center	9	16	56%	16	16	
Advancement	12	48	25%	48	48	
Allied Health Sciences, School of	16	27	59%	27	27	
Athletics	11	125	9%	88	88	
Business, College of (1)‡	22	96	23%	95	95	
Community Health, School of	4	27	15%	27	27	
Dental Medicine, School of	30	60	50%	60	60	
Diversity Initiatives	1	4	25%	4	4	
Education, College of	24	86	28%	85	85	
Educational Outreach	8	37	22%	35	35	
Engineering, College of	20	85	24%	85	85	1
Finance & Business	11	115	10%	115	115	
Fine Arts, College of	52	104	50%	104	104	
General Counsel	0	7	0%	7	7	
Honors	0	2	0%	2	2	
Hotel Administration, College of (1)‡	20	58	34%	55	55	
Information Technology, Office of	8	61	13%	61	61	
Law, Boyd School of	24	68	35%	68	68	
Liberal Arts, College of	55	159	35%	156	156	
Libraries	9	52	17%	52	52	
Nursing	18	42	43%	42	42	
President	6	13	46%	13	13	
Provost	4	21	19%	21	21	
Research & Grad Studies	8	71	11%	71	71	
Sciences, College of (3)‡	27	156	17%	153	153	
Student Affairs, Division of (2)‡	26	188	14%	186	186	
Urban Affairs (1)‡	26	82	32%	81	81	
Total	451	1810	24.90%	1757	1757	1

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

<sup>‡</sup> This number reflects staff on extended leave (FMLA, etc.)

### Instructions:

1. Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

			Funding So	urces (Must tot	al column 1)		
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
Academic Success Center	1 Nequests		2	6		1	Related
Advancement	12		11	1	0	0	
Allied Health Sciences, School of	16		6	5		4	
Athletics	11		2	1	0	8	
Business, College of	22		15	5	-	1	
Community Health, School of	4		2	1	1	0	
Dental Medicine, School of	30		23	5	2	0	
Diversity Initiatives	1		1	0		0	
Education, College of	24		13	7	1	3	
Educational Outreach	8		4	4	0	0	
Engineering, College of	20		14	3	2	1	
Finance & Business	11		9	1	1	0	
Fine Arts, College of	52		45	4	0	3	
General Counsel	0		0	0	0	0	
Honors	0		0	0	0	0	
Hotel Administration, College of	20		19	1	0	0	
Information Technology, Office of	8		5	2	1	0	
Law, Boyd School of	24		19	5	0	0	
Liberal Arts, College of	55		33	18	3	1	
Libraries	9		6	3	0	0	
Nursing	18		18	0	0	0	
President	6		3	1	2	0	
Provost	4		3	1	0	0	
Research & Grad Studies	8		4	2		1	
Sciences, College of	27		18	5		0	
Student Affairs, Division of	26		17	9		0	
Urban Affairs	26		18	4		1	
TOTAL	451		310	94	23	24	0

### Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

### UNR

### The University of Nevada, Reno's Scholarly and Professional Outside Compensated Services Report to NSHE for Calendar Year 2011

The attached report reflects the data collected from the University of Nevada, Reno's annual disclosures of COI and COPS activities for full-time faculty. The data reported are for both A and B contract faculty. Each section is briefly discussed below and clarification given as necessary. Section 1:

- 1. Number of Faculty Requesting SPOCS: These numbers reflect the COPS reporting process enacted in 2011.
- Total Number of Faculty: these numbers are the total number of full-time faculty, by administrative unit.
- 3. Percent of Faculty Requesting SPOCS: These numbers reflect the COPS reporting process enacted in 2011.
- 4. Number of COI Reports Submitted: These numbers are the number of completed COI reports submitted by full-time UNR faculty as of March 15, 2011. The data collection process began in January and was closed on March 15<sup>th</sup> for the purposes of this report.
- 5. Number of COI Forms Reviewed at a Level Higher than the Employee's Supervisor: These numbers are the same as the number of COI reports submitted because each form is reviewed by the Designated Official and triaged for presentation to the COI Committee. The process of review is an ongoing one that continues throughout the year. The CY 2011 review will begin in April 2012 and will continue through the fall semester. This review will include all 2,658 submitted COI forms, from full and part time faculty, staff and Graduate Assistants
- 6. Number of Actual COIs: Since the process of review involves looking at the forms after they are submitted, the numbers reported are for those few conflicts that were formally reviewed and processed by the COI Committee for CY 2010 only. Review of the 2011 disclosures will be conducted in 2012 and any conflicts reported on this form in 2013.
  - a. One of the three conflicts reported involved a faculty member who is part-owner of a corporation that issues sub-awards to UNR. A Management Plan was crafted by the COI committee and agreed to by the Dean of the college, the Chair of the department and the affected faculty member. That plan was approved by then-President Glick and is currently in place. The department chair is reporting to the COI Committee as required by the Management Plan.
  - b. The second conflict was identified in 2011 and involves a faculty member who has a potentially patentable element and has formed a company to develop and license the invention. A Management Plan was crafted by the COI committee, approved by then-President Glick and is currently in place.
  - c. The third conflict was identified in 2011 also involved a faculty member who has a potentially patentable element and has formed a company to

- develop and license the invention. A Management Plan was crafted by the COI committee, approved by President Johnson and is currently in place.
- d. All Management Plans have reporting requirements and are monitored jointly by the Department Chair and the COI Designated Official.

### Section 2:

Several faculty members have more than one SPOCS activity to report, often for different funding sources. The totals in column one will not therefore match the totals in in column one from the first section, which asks for the number of faculty requesting SPOCS approval.

Institution: University of Nevada, Reno

Reporting Period: January 1 - December 31, 2011

### Instructions:

1. Report aggregated *number* (*headcount*) of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff 60 6	% of Faculty/ Prostaff requesting SPOCS 0.0%	# COI Reports Submitted 47	# COI Reports Reviewed at a level higher than the employee's supervisor	
Prostaff requesting SPOCS	Faculty/ Prostaff 60 6	Prostaff requesting SPOCS 0.0%	Submitted	than the employee's	
requesting SPOCS	Faculty/ Prostaff 60 6	requesting SPOCS 0.0%	Submitted	employee's	ļ.
SPOCS	Prostaff 60 6	SPOCS 0.0%	Submitted		li de la companya de
	60 6	0.0%			# Actual COI*
1		0.00/	41	47	
1	13	0.0%	3	3	·
1	10	0.0%	13	13	
	83	1.2%	66	66	
	55	0.0%	44	44	
	15	0.0%	10	10	
	39	0.0%	36	36	
2	54	3.7%	54	54	
3	76	3.9%	72	72	2
	37	0.0%	36	36	
	10	0.0%	9	9	
1	73	1.4%	62	62	
	16	0.0%	15	15	
	198	0.0%	197	197	
	27	0.0%	26	26	
4	239	1.7%	147	147	
	8	0.0%	7	7	
	4	0.0%	4	4	
	29	0.0%	28	28	
	48	0.0%	47	47	
5	159	3.1%	148	148	1
	33	0.0%	33	33	
	8	0.0%	5	5	
1					
7	31	3.2%	3.7		
	5	10 1 73 16 198 27 4 239 8 4 239 4 29 48 5 159 33 8 1 84 2 57	10 0.0% 1 73 1.4% 16 0.0% 198 0.0% 27 0.0% 4 239 1.7% 8 0.0% 4 0.0% 29 0.0% 48 0.0% 5 159 3.1% 33 0.0% 8 0.0% 1 84 1.2% 2 57 3.5%	10     0.0%     9       1     73     1.4%     62       16     0.0%     15       198     0.0%     197       27     0.0%     26       4     239     1.7%     147       8     0.0%     7       4     0.0%     4       29     0.0%     28       48     0.0%     47       5     159     3.1%     148       33     0.0%     33       8     0.0%     5       1     84     1.2%     79       2     57     3.5%     56	10     0.0%     9     9       1     73     1.4%     62     62       16     0.0%     15     15       198     0.0%     197     197       27     0.0%     26     26       4     239     1.7%     147     147       8     0.0%     7     7       4     0.0%     4     4       29     0.0%     28     28       48     0.0%     47     47       5     159     3.1%     148     148       33     0.0%     33     33       8     0.0%     5     5       1     84     1.2%     79     79       2     57     3.5%     56     56

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

### Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	1 Funding Sources (must equal amount reported in Column 1)						
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related	
CABNR								
AVPH								
B&F								
BUDN	1	0	1					
COOP								
CXS								
DAR								
EDDN	3	0		2	1		2	

ENDN	3	0	2	1			
ENRO							
FSRV							
ICA	1	0	1				
JOUR							
LADN							
LIBR							
MDDN	5	0	5				1
PBA							
PILO							
PRES							
PROV							
SCDN	5	0	3	2			2
SL							
VPAF							
VPHS	1	0	1				
VPIT	2	0	2				
VPR	1	0	1				
VPST	00		40	_			
Total	22	0	16	5	1	0	5

#### **Definitions:**

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Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

### NSC

Institution: Nevada State College

Reporting Period: January 1 - December 31, 2011

#### Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
	# of Faculty/		0/ of Faculty/		# COI Reports Reviewed at a	
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	level higher than the employee's supervisor	# Actual COI*
School of Education	0	4	0.0%	0	0	0
School of Nursing	3	14	21.4%	0	0	0
Department of Humanities	0	4	0.0%	0	0	0
Department of Physical and Life Sciences	1	8	12.5%	0	0	0
Department of Social Sciences	0	6	0.0%	0	0	0
Department of Business Administration	0	1	0.0%	0	0	0

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

### Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	Fund	ing Sources (m	ust equal amoun	t reported in Colu	ımn 1)	
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
School of Education	0	0	0	0	0	0	0
School of Nursing	3	0	2	1	0	0	0
Department of Humanities	0	0	0	0	0	0	0
Department of Physical and Life Sciences	1	0	0	1	0	0	0
Department of Social Sciences	0	0	0	0	0	0	0
Department of Business Administration	0	0	0	0	0	0	0
Total	4	0	2	2	0	0	0

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Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

## CSN

Institution: College of Southern Nevada

Reporting Period: January 1 - December 31, 2011

#### Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
Academic Affairs	120	500	24.0%	0	0	0
			#DIV/0!			
			#DIV/0!			
			#DIV/0!			
			#DIV/0!			
			#DIV/0!			

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

### Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	Funding Sources (must equal amount reported in Column 1)						
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related	
Academic Affairs	120							
Total	120	0	0	0	0	0	0	

### **Definitions:**

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Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

### GBC

Institution: Great Basin College

Reporting Period: January 1 - December 31, 2011

#### Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
GBC Academic and Administrative Faculty	12	113	10.6%	0	0	0

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

### Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	Funding Sources (must equal amount reported in Column 1)						
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related	
	12	0	5	5	1	1	0	
Total	12	0	5	5	1	1	0	

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Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

### **TMCC**

Institution: Truckee Meadows Community College Reporting Period: January 1 - December 31, 2011

#### Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
Academic Affairs	62	200	31.0%	0	0	0
Finance and Administration	2	37	5.4%	0	0	0
Presidents Office	3	13	23.1%	0	0	0
Student Services	2	34	5.9%	0	0	0

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

### Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	Funding Sources (must equal amount reported in Column 1)					
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
Academic Affairs	62	0	24	16	5	17	18
Finance and Administration	2	0	1	0	0	1	0
Presidents Office	3	0	0	0	2	1	0
Student Services	2	0	0	2	0	0	0
Total	69	0	25	18	7	19	18

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Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division



Institution: Western Nevada College

Reporting Period: January 1 - December 31, 2011

### Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
PRESIDENT'S OFFICE	0	1	0.0%	0	0	0
ACADEMIC & STUDENT AFFAIRS	0	2	0.0%	0	0	0
Academic Divisions						
Communications & Fine Arts	1	12	8.3%	1	1	0
Nursing & Allied Health	7	9	77.8%	7	7	0
Science, Mathematics & Engineering	1	15	6.7%	1	1	0
Social Sci/Educ/Humanities & Public Srvc	2	12	16.7%	2	2	0
Technology	2	7	28.6%	2	2	0
Administrative Departments	4	29	13.8%	4	4	0
FINANCE & ADMINISTRATIVE SERVICES	1	26	3.8%	1	1	0
HUMAN RESOURCES & LEGAL SERVICES	0	2	0.0%	0	0	0
ALL FACULTY	18	115	15.7%	18	18	0

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

### Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1 Funding Sources (must equal amount reported in Column 1)						
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
PRESIDENT'S OFFICE	0	0	0	0	0	0	0
ACADEMIC & STUDENT AFFAIRS	0	0	0	0	0	0	0
Academic Divisions							
Communications & Fine Arts	1	0	1	0	0	0	0
Nursing & Allied Health	7	0	6	1	0	0	0
Science, Mathematics & Engineering	1	0	0	0	0	1	0
Social Sci/Educ/Humanities & Public Srvc	2	0	0	1	0	1	0
Technology	2	0	2	0	0	0	0
Administrative Departments	4	0	3	1	0	0	0
FINANCE & ADMINISTRATIVE SERVICES	1	0	1	0	0	0	0
HUMAN RESOURCES & LEGAL SERVICES	0	0	0	0	0	0	0

Total	18	0	13	3	0	2	0

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Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

### DRI

Institution: Desert Research Institute
Reporting Period: January 1 - December 31, 2011

#### Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).

2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
Administration	1	30	3.3%	0	0	0
Division of Atmospheric Sciences	2	57	3.5%	0	0	0
Division of Earth and Ecosystem Sciences	4	49	8.2%	1	1	0
Division of Hydrologic Sciences	2	56	3.6%	0	0	0
Total	9	192	4.7%	1	1	0

<sup>\*</sup> On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

#### Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	Funding Sources (must equal amount reported in Column 1)					
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
Administration	1	0	1	0	0	0	1
Division of Atmospheric Sciences	2	0	0	0	2	0	2
Division of Earth and Ecosystem Sciences	2	0	2	0	0	0	1
Division of Hydrologic Sciences	1	0	1	0	0	0	1
Total	6	0	4	0	2	0	5

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Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division